



VINSE

How Nanoscale Substrate Properties Influence the Growth of VO₂ Single Crystals

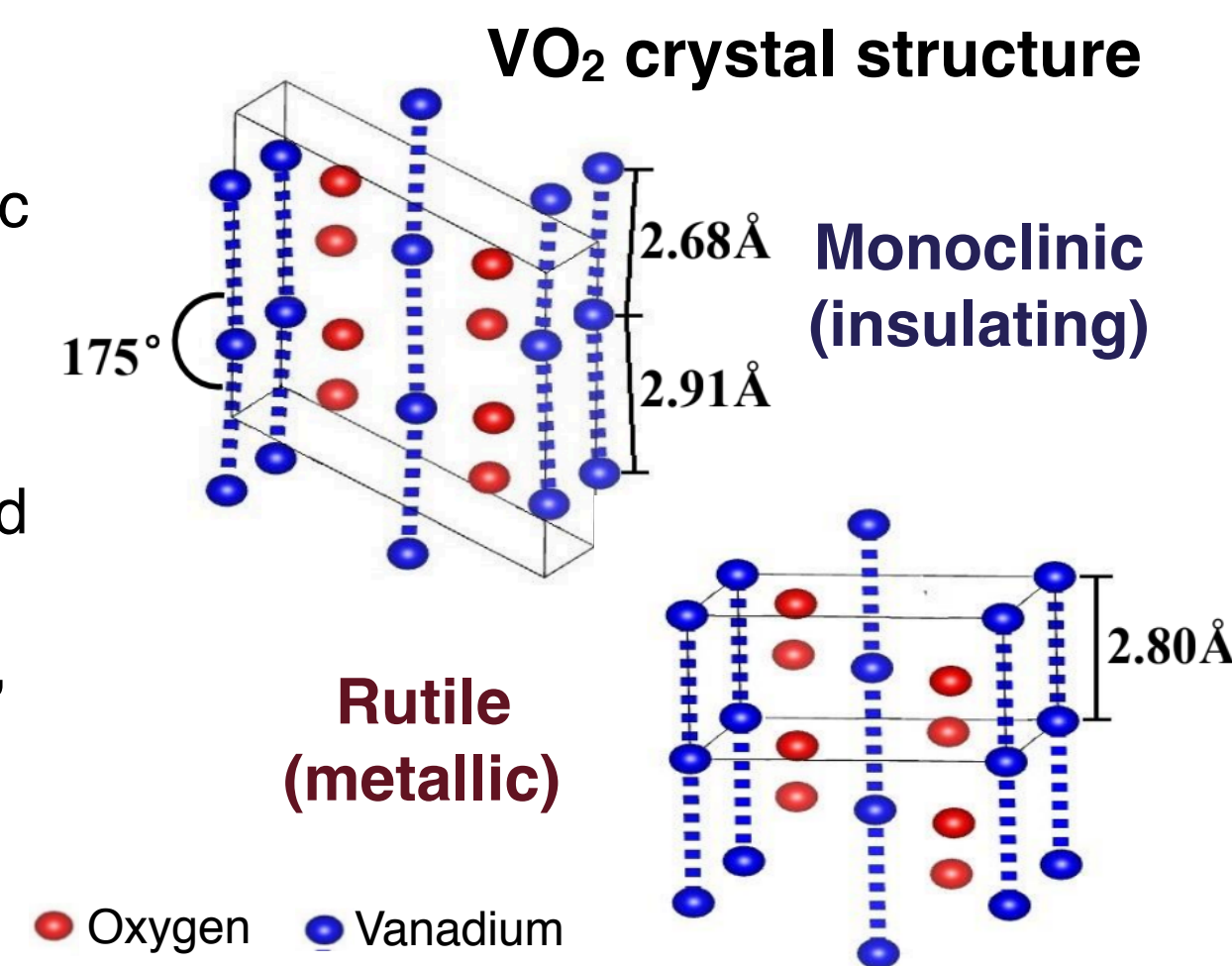
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Why VO₂ Single Crystals?

- **Metal-insulator transition** at ~68°C¹
 - Structural AND electronic
 - Induced thermally or optically
- **Applications** in physics and engineering
 - Optoelectronic switches, smart windows^{2,3}
 - Optical physics experiments



Substrate Physics

What properties of substrate affect crystal properties?

1. Thermal expansion

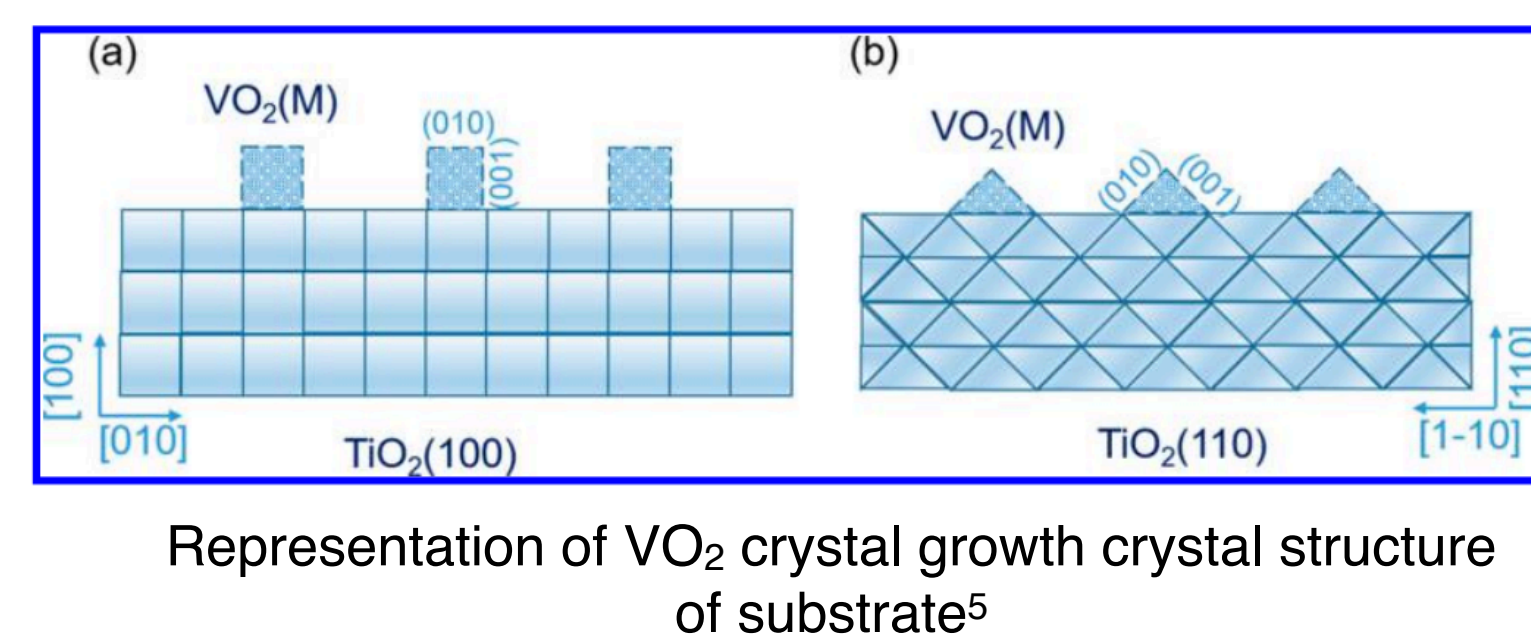
- Different thermal expansion coefficients → Crystals strained during heating/cooling → metal insulator stripes⁴

2. Surface chemistry

- Vanadium oxides react with substrate surface
 - Creation of byproducts
 - Corrosion

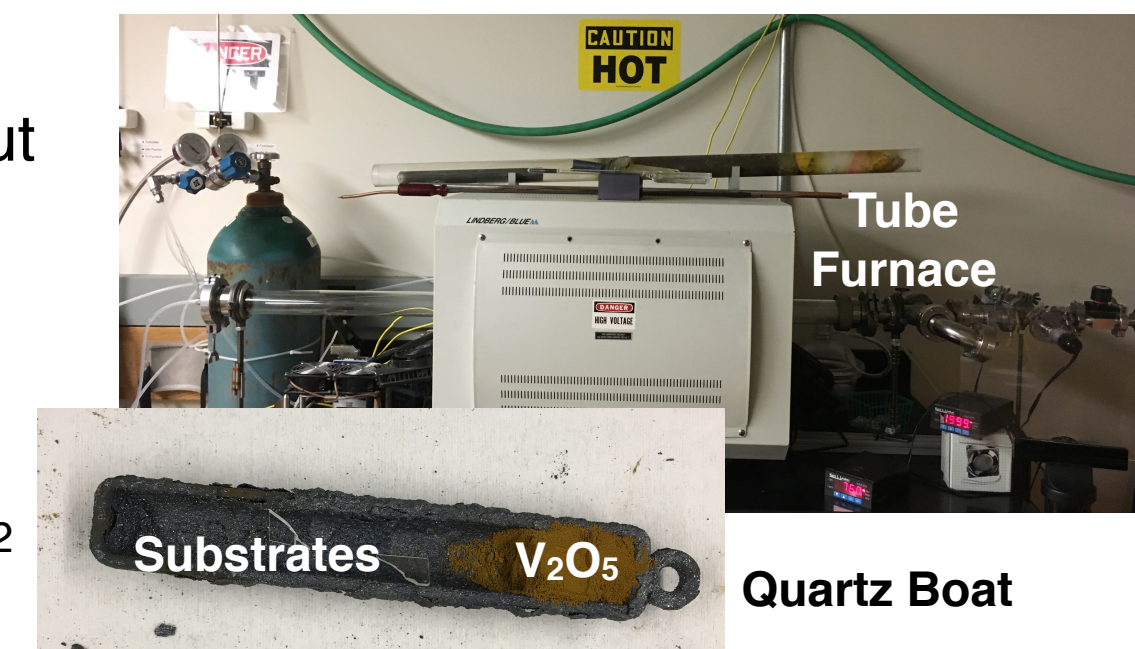
3. Lattice Match

- Preferred orientation
- Evidence of epitaxy

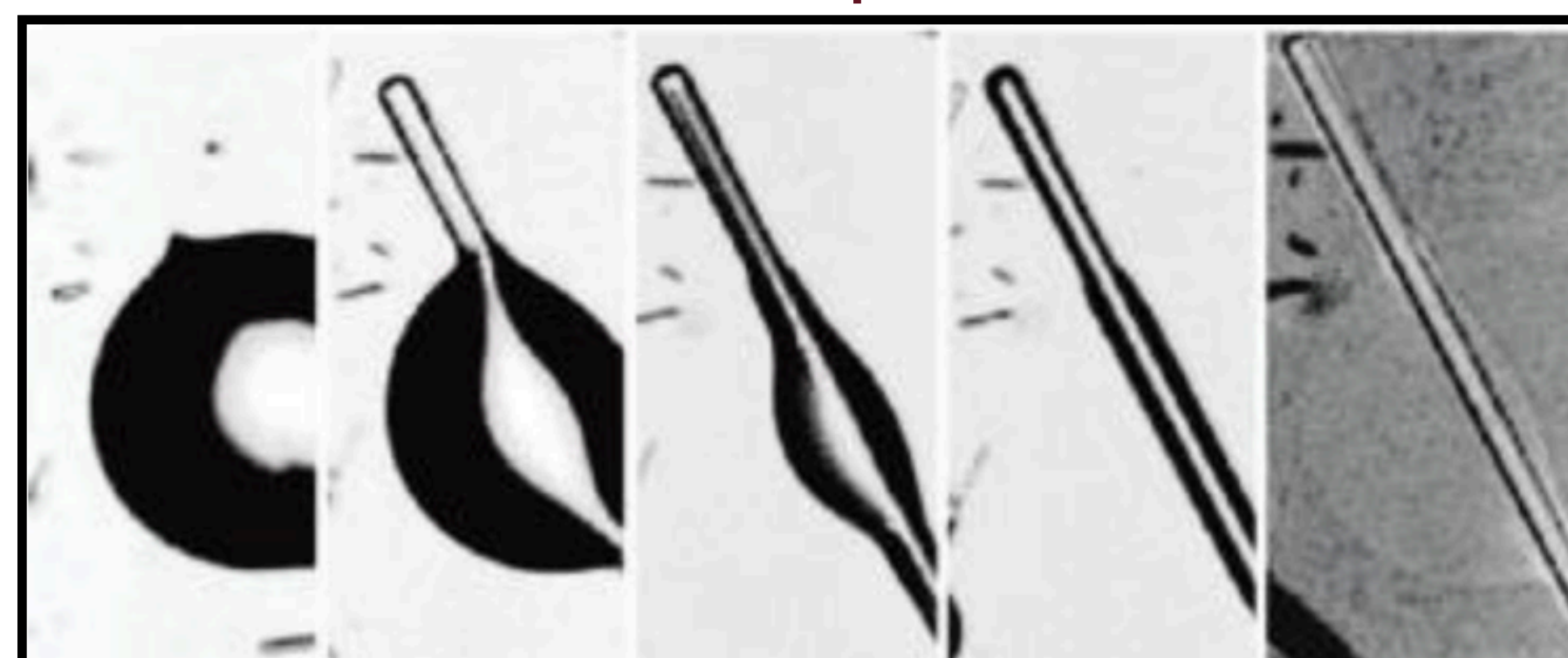


Vapor Transport Growth

1. V₂O₅ powder in quartz boat with substrates put into furnace
2. Powder vaporizes and condenses onto substrate
3. Loses oxygen and VO₂ crystallizes

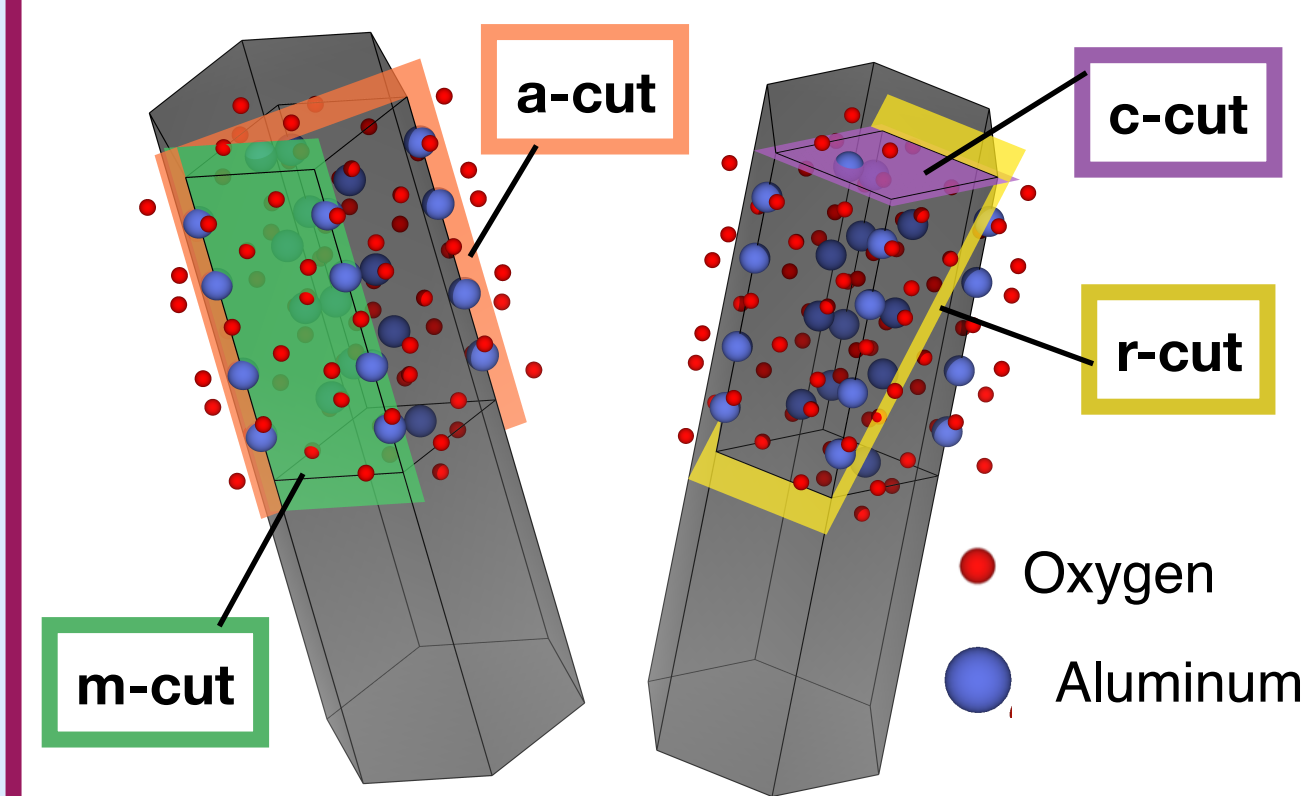


Growth Mechanism: VO₂ crystals form from liquid V₂O₅ droplets



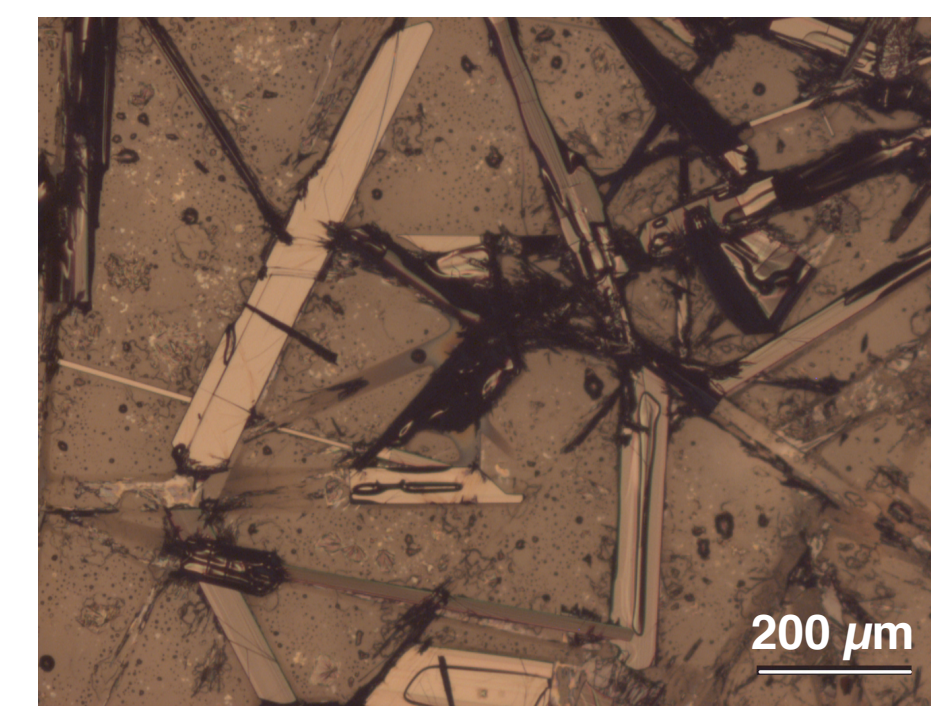
Images of crystals growing out of droplets by Strelcov et al.⁶

Sapphire (Al₂O₃)

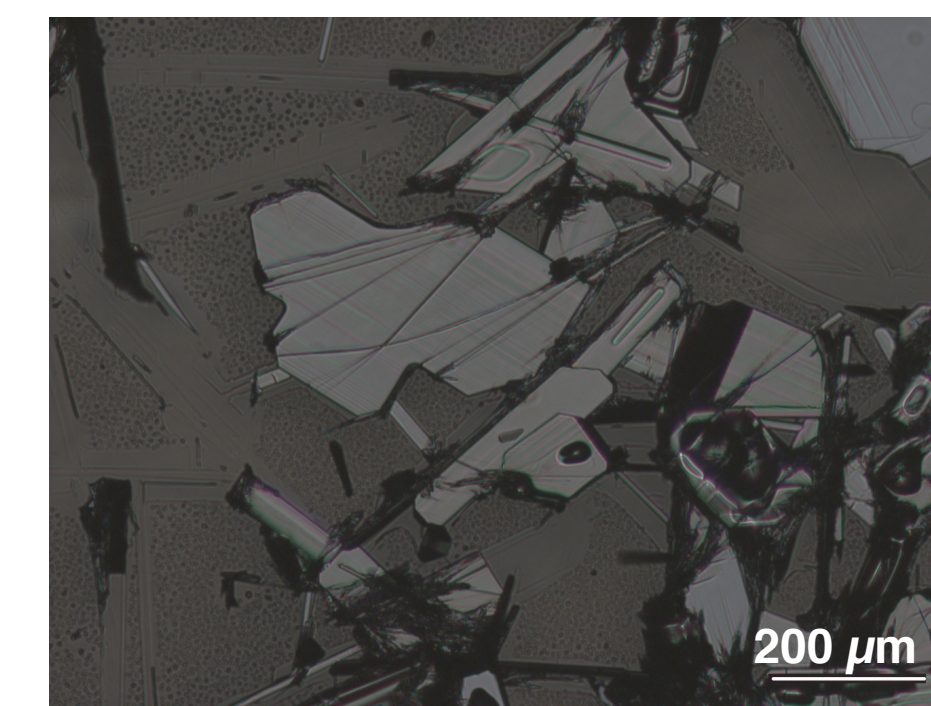


Hexagonal crystal structure of sapphire and lattice planes at surface of different cuts of sapphire

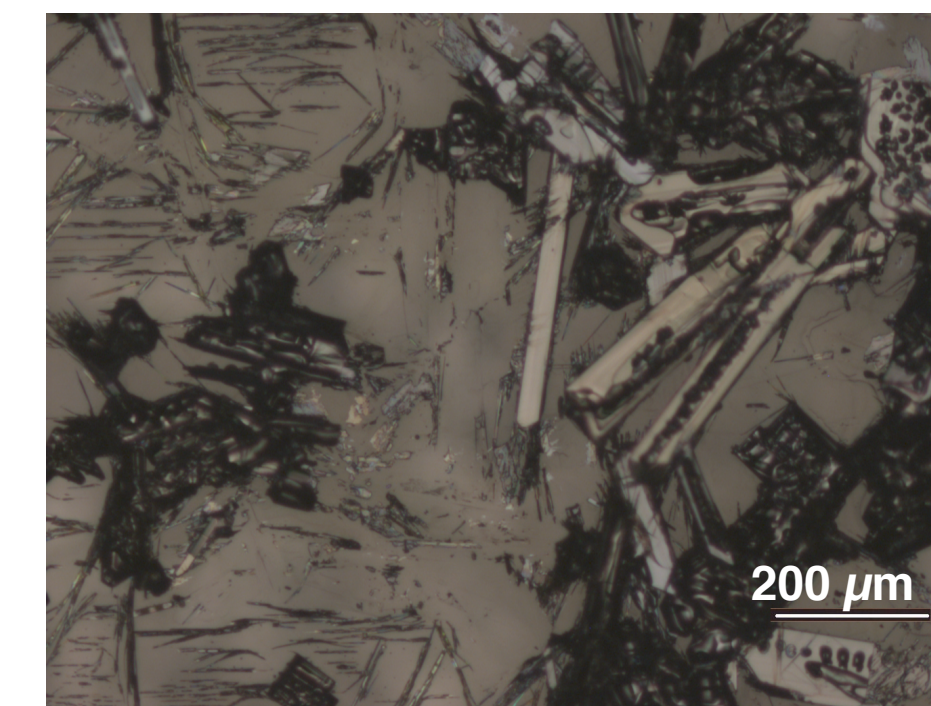
c-cut (0001)



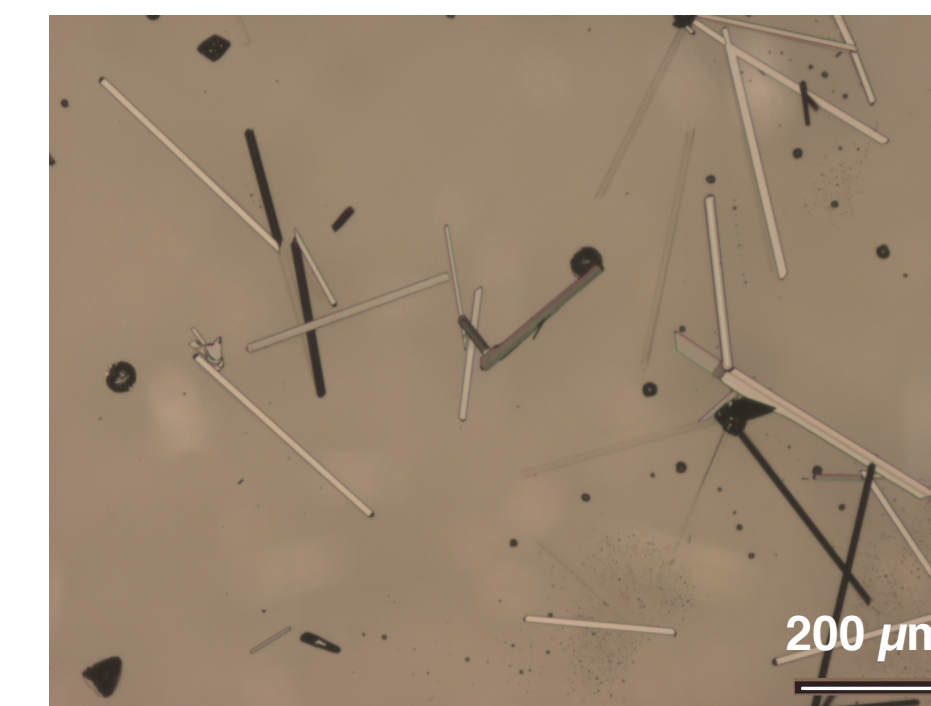
r-cut (1102)



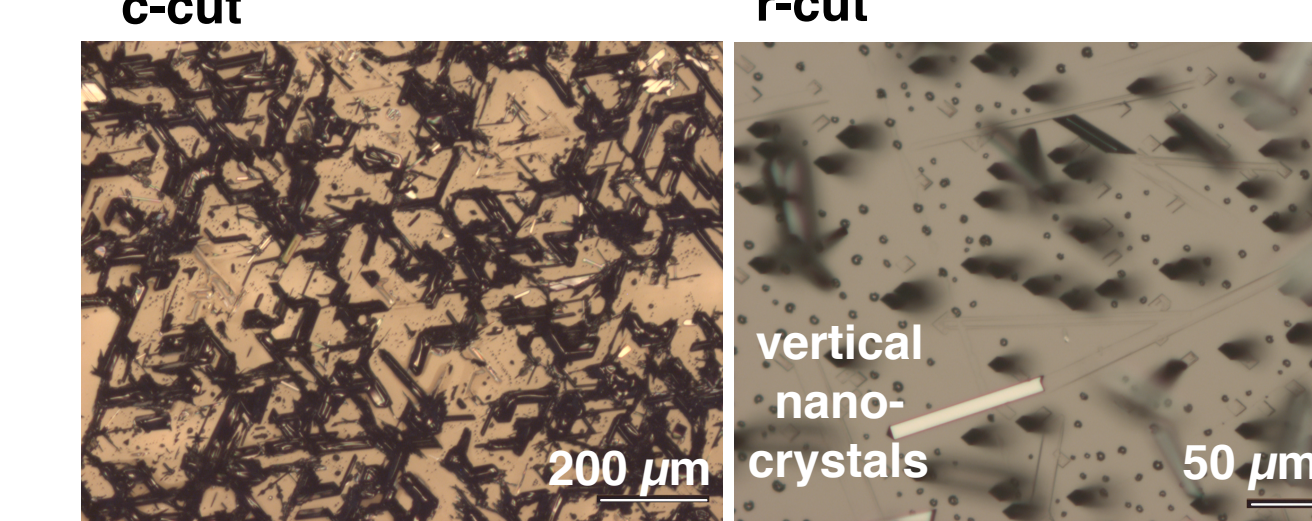
m-cut (1010)



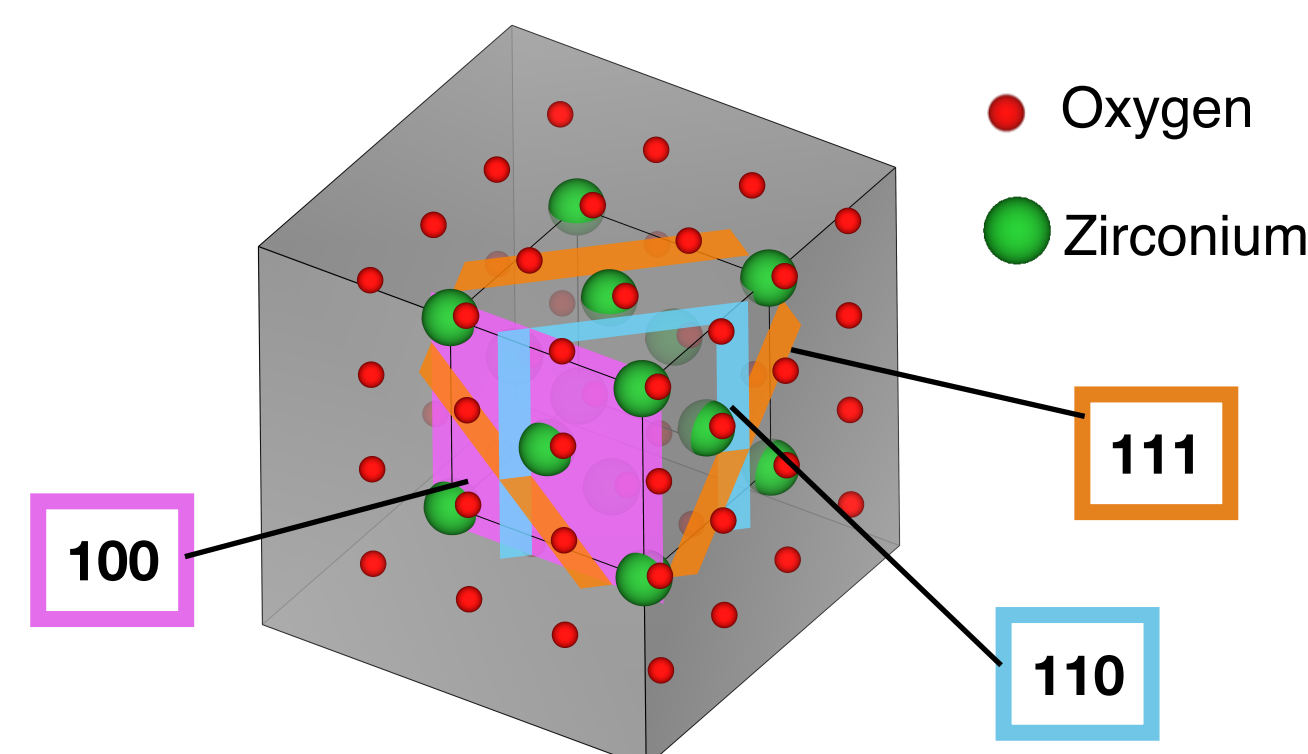
a-cut (1120)



- Most crystals in **M2 phase**:
 - EDX shows aluminum doping which is known to stabilize M2⁷
 - Dark, rough material forms on substrate and crystals, possibly due to reaction
- **Preferred orientation**
 - 60° angles between crystals on c-cut sapphire
 - **Vertical crystals** on r-cut

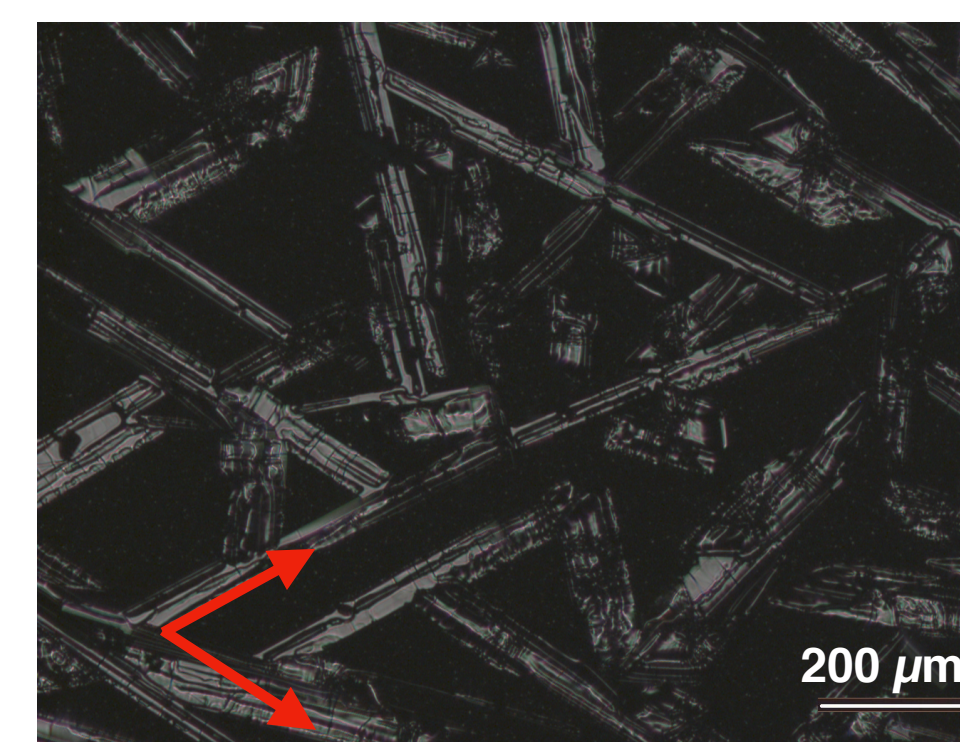


Yttrium Stabilized Zirconia (YSZ)



Cubic ZrO₂ structure (stabilized by Y impurities) and lattice planes at surface of different cuts of YSZ

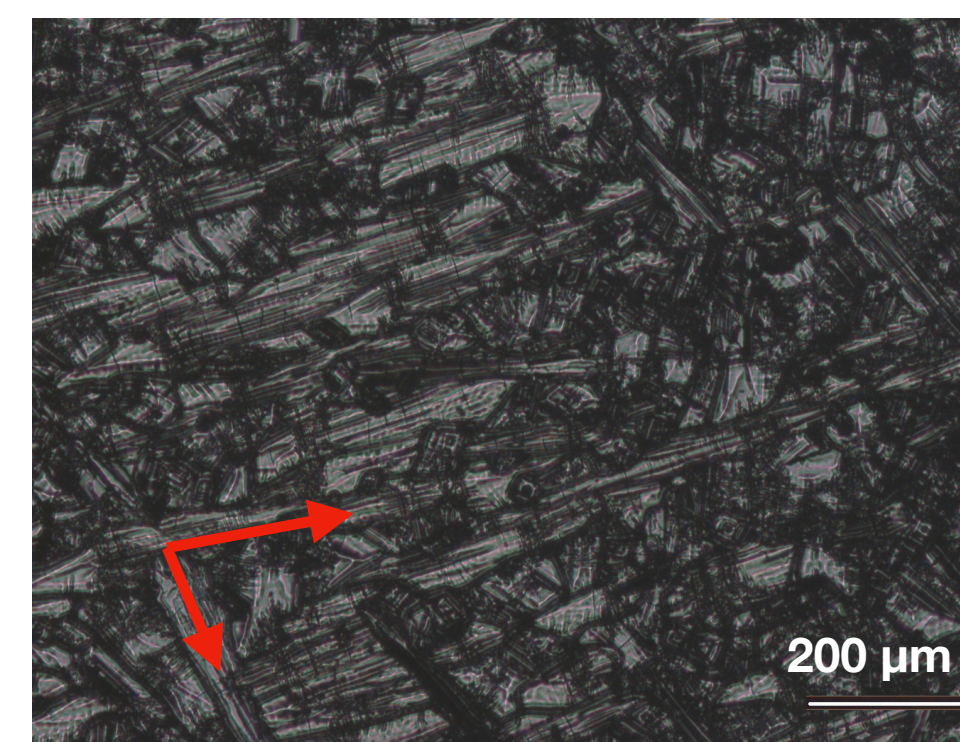
(111)



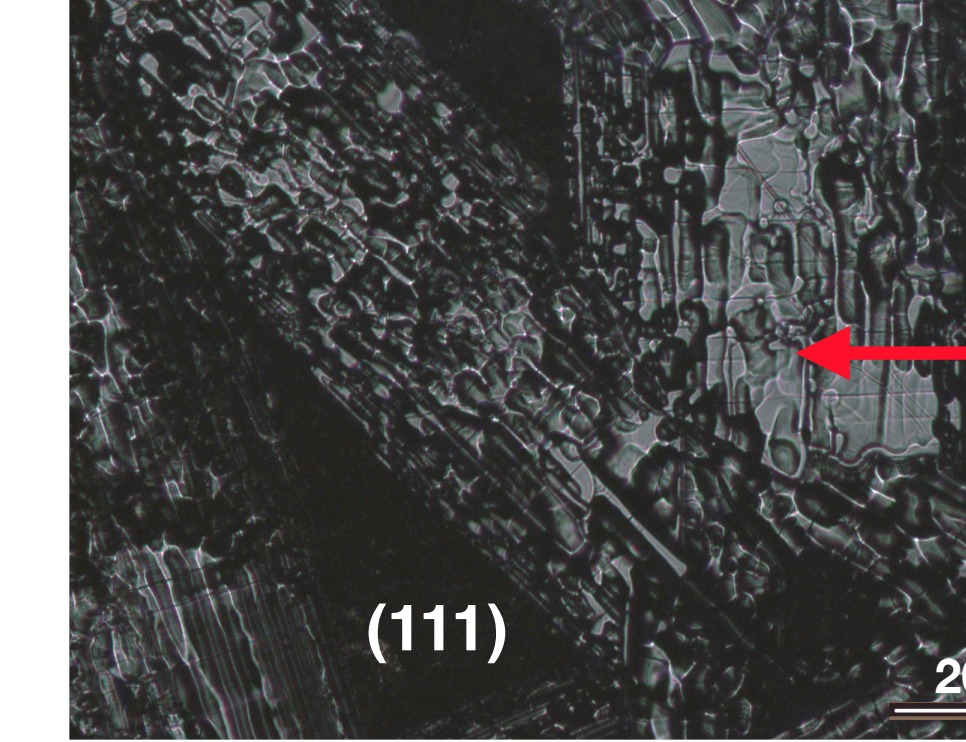
(100)



(110)



(111)



- Evidence of **preferred orientation** (indicated with red arrows), especially on (100)
- VO₂ on top of **YVO₄ film** grows on YSZ (confirmed by Raman)⁸
- All cuts yield large crystals with rough surface texture

*All images taken with polarized optical microscope

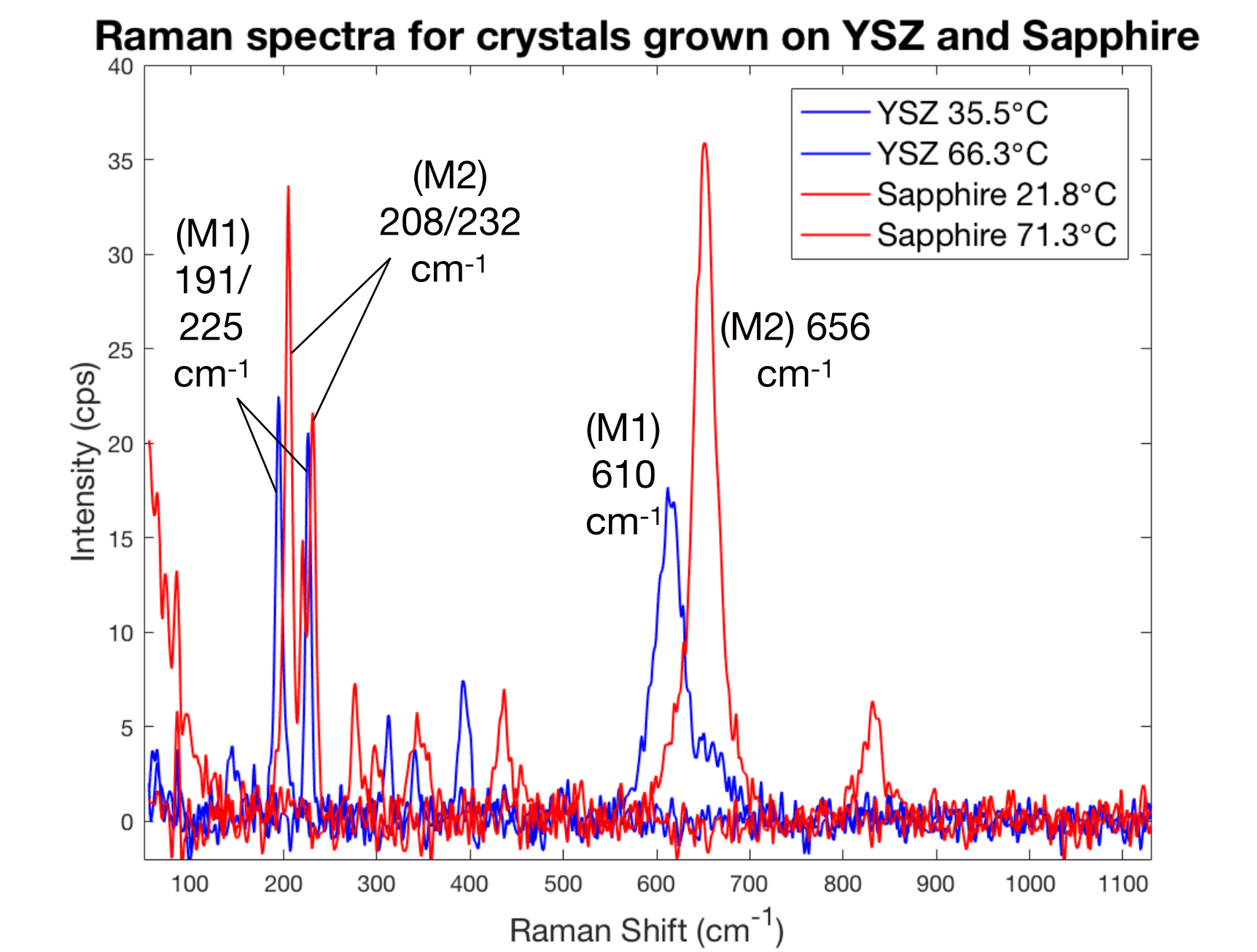
Conclusions

- Lattice match affects **preferred growth orientation**
 - YSZ and sapphire produce different morphologies
 - Different orientation depending on cut of substrate
- **Chemical interactions** between vanadium and substrate affect crystals—*complicate our understanding* of growth mechanism/lattice matching
 - Thin film of **YVO₄** forms between YSZ and sapphire crystals
 - **Aluminum doping** of sapphire crystals possibly due to corrosion
- Paves the way for applications of VO₂ crystals in optical experiments
 - Image phonon polaritons in hexagonal boron nitride deposited on VO₂ crystals⁹

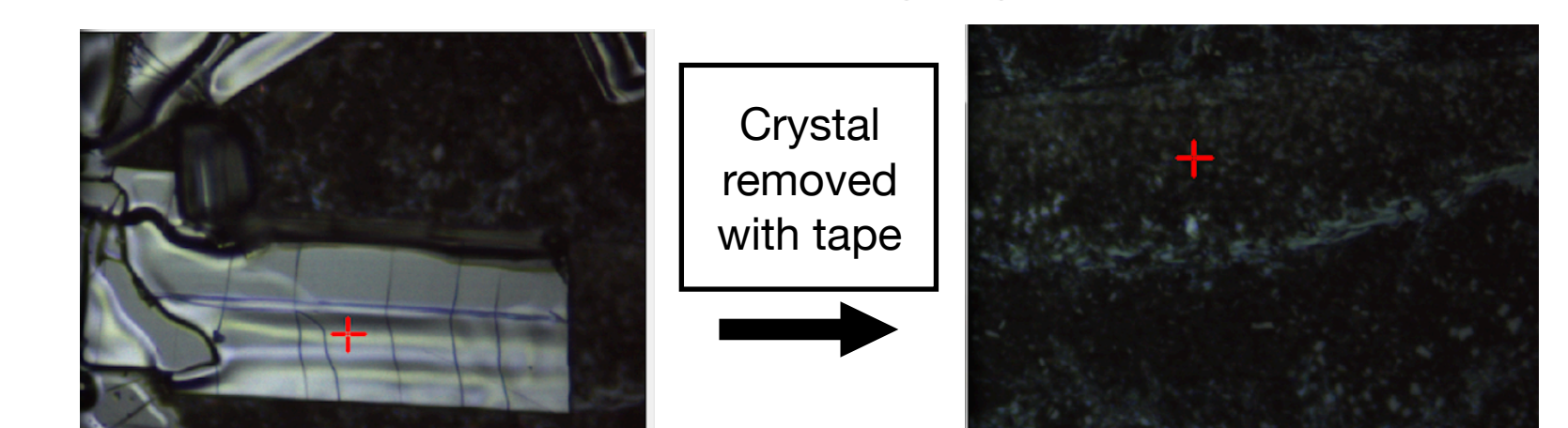
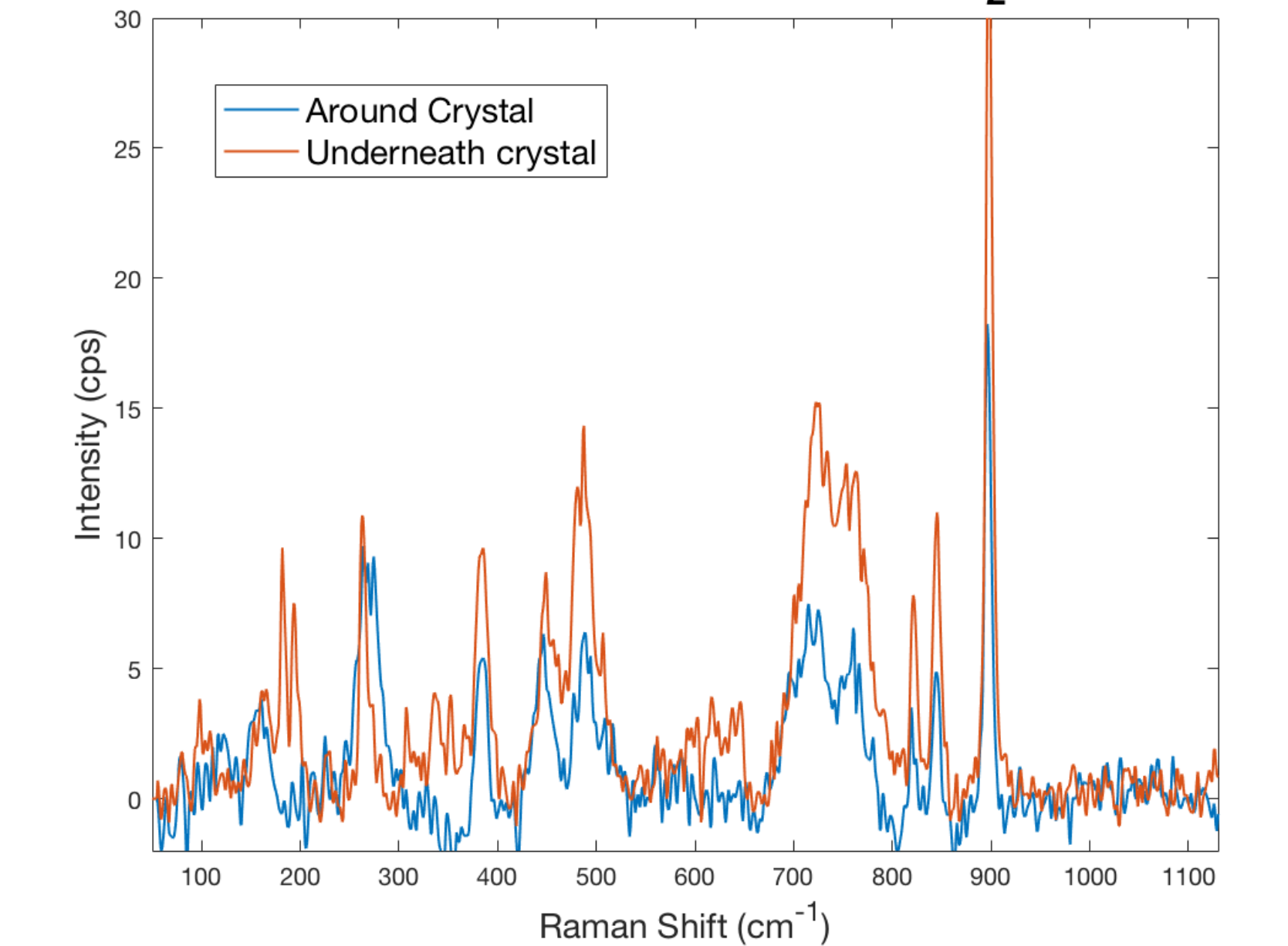
References

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 - ⁶Strelcov, E. et al. "In situ monitoring of the growth, intermediate phase transformations and templating of single crystal VO₂ nanowires and nanoplatelets"(2011). *ACS Nano*, 5(4), 3373-3384.
 - ⁷Strelcov, E. et al. (2012). "Doping-based stabilization of the M2 phase in free-standing VO₂ nanostructures at room temperature" *Nano Letters*, 12(12), 6198-6205.
 - ⁸Susnitzky et al. (1989). "Vanadia-induced transformations in yttria-stabilized zirconia." *Ultramicroscopy* 30, 233-241.
 - ⁹Folland et al. (2018). "Reconfigurable infrared hyperbolic metasurfaces using phase change materials," *Nature Communications* 9, 4371.
- Note: Figures showing crystal lattice structures made using VESTA.

Raman Spectroscopy demonstrates effects of surface chemistry



Raman spectra for dark film on YSZ after VO₂ crystal growth



(Top) Raman spectra showing M2 phase in crystals grown on sapphire and M1 in crystals grown on YSZ. Lack of peaks at high temperature indicates rutile structure. (Bottom) Spectra from material formed on YSZ substrate around and under a crystal. Indicates formation of YVO₄ layer.

Acknowledgements

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