

## AmericasBarometer 2023: Guatemala

### Technical Information

Country	Year	Sample Size	Weighted/Unweighted	Fieldwork dates
Guatemala	2023	1,556	Self-weighted	March 6 <sup>th</sup> - June 7 <sup>th</sup> , 2023

### LAPOP Lab AmericasBarometer 2023 Survey Round

The 2023 AmericasBarometer represents the 10<sup>th</sup> round of LAPOP Lab’s main project, which marks a significant milestone in the realm of public opinion research in the Americas. Over the past decade, the AmericasBarometer has emerged as a leading source of data, providing valuable insights into the political, social, and economic landscape of the region. With its rigorous methodology and extensive coverage, the survey has been instrumental in understanding the diverse perspectives and attitudes of citizens across Latin America and the Caribbean. The AmericasBarometer permits valid comparisons across countries, and time, via a common core questionnaire and standardized methods. Over the years, the AmericasBarometer has interviewed over 409,000 respondents across the region.

In the 2023 round of the AmericasBarometer, LAPOP Lab switched back to its conventional data collection mode (Face-to-Face household surveys). At the heart of the survey's methodology lies a robust and complex sample design. Following the methodology of previous rounds, the 2023 AmericasBarometer continues to use the sample strategy introduced in the 2012 round of the surveys and also employed in the 2014, 2016/17, and 2018/19 rounds. This sample design continues to use, in almost all cases, the same stratification employed since 2004, making adjustments where necessary when census information is updated. The sample design aims for representative results at the primary stratum level, accounting for urban/rural areas and the size of municipalities. This approach ensures a thorough and nuanced understanding of public opinion across different geographic and demographic segments. By stabilizing primary sampling unit (PSU) and cluster sizes and employing Probability Proportional to Size (PPS) method for PSU selection, the survey maximizes efficiency and minimizes intra-class correlation.

As in previous rounds of the AmericasBarometer, we conducted online surveys in the U.S. and Canada. In Haiti and Nicaragua CATI interviews were conducted using Random-Digit Dialing (RDD) using mobile phone numbers as sampling frames.

The quality control process for the AmericasBarometer 2023 round continues using the LAPOP's Fieldwork Algorithm for LAPOP Control over survey Operations and Norms (FALCON). FALCON gathers information about each interview such as recordings, interviewer images, question and questionnaire timing, and interviewer performance indicators that are daily monitored during data collection to guarantee that each interview meets LAPOP Lab's quality control standards.

For the 2023 AmericasBarometer, LAPOP Lab collected data in 26 countries in the Americas, from January to August 2023. All country datasets and reports available for download for free at [www.LapopSurveys.org](http://www.LapopSurveys.org).

The remaining pages of this technical note describe the sample design of the 2023 AmericasBarometer survey in Guatemala.

## **2023 AmericasBarometer: Guatemala**

This survey was carried out between March 6<sup>th</sup> and June 7<sup>th</sup> 2023, as part of LAPOP's 2023 AmericasBarometer. It is a follow up to LAPOP's AmericasBarometer Guatemala surveys of 2004, 2006, 2008, 2010, 2012, 2014, 2017, 2019, and 2021. The 2023 survey fieldwork was carried out by ASIES on behalf of LAPOP. Key funding came from Vanderbilt University, USAID, and the Inter-American Development Bank.

Questionnaire pretesting took place between January 25<sup>th</sup> and January 27<sup>th</sup>, 2023 and interviewer training took place from February 21<sup>st</sup> to March 3<sup>rd</sup>, 2023. Pilot surveys were conducted between February 23<sup>rd</sup> and March 4<sup>th</sup>. A full copy of the 2023 AmericasBarometer Guatemala questionnaire can be found at LAPOP's website at [www.LapopSurveys.org](http://www.LapopSurveys.org).

The project used a national probability sample design of voting-age adults, with a total N of 1,556 people involving face-to-face interviews conducted in Spanish. In the 2023 round, LAPOP used the SurveyToGo© (STG) software, running on Android tablets and phones, to conduct 100% of the interviews.

The survey used a complex sample design, including stratification and clustering. The sample was developed by LAPOP, using a multi-stage probability design and was stratified by the 4 major regions of the country: Metropolitan Area, Northeastern, Northwestern, and South. The sample is representative at the national level and of the 4 regions as shown in the map below (see Figure 1). Each stratum was further sub-stratified by size of municipality<sup>1</sup> and by urban and rural areas

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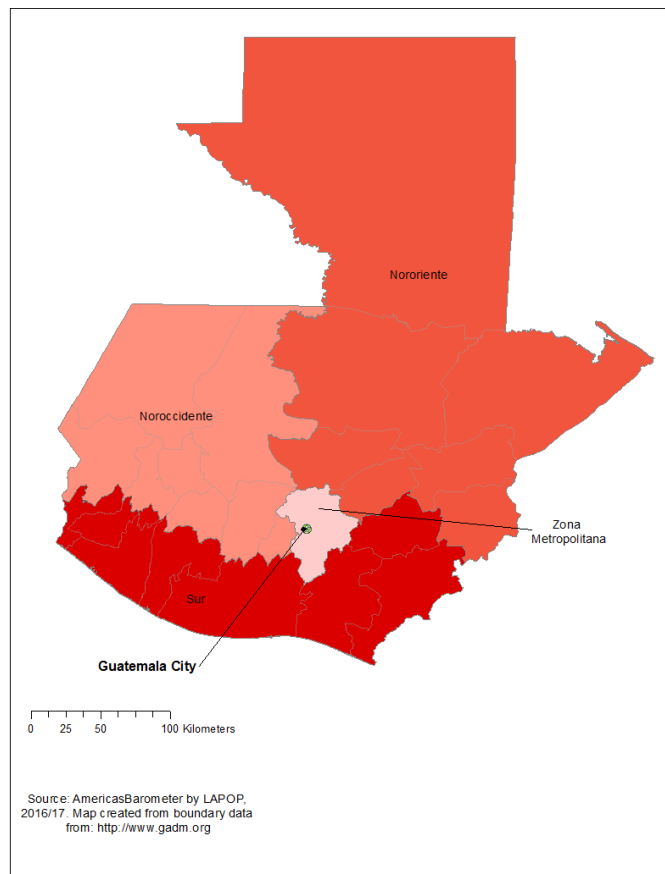
<sup>1</sup> The sample design includes three different strata of municipalities classified according to their size. Municipalities were grouped in sizes as follows: (1) Small municipalities with less than 25,000 inhabitants, (2) Medium-sized municipalities with between 25,000 and 100,000 inhabitants, (3) Large municipalities with more than 100,000 inhabitants.

within municipalities. Respondents were selected in clusters of 6 in urban and rural areas. Reported statistics or statistical analyses should be adjusted for the design effect due to the complex design of the sample.<sup>2</sup>

The sample frame used for the sample is the 2002 Population Census, adjusted with information from the 2018 Population Census. The sample is representative of voting age population at the primary stratum level, by urban/rural areas, and by size of the municipalities. No areas or regions of the country were excluded from the design.

During fieldwork a total of 17 clusters (102 interviews) were substituted in Guatemala. Fieldwork substitutions were requested by our partners in Guatemala based on their knowledge of current local conditions. Most of the substitutions were because the selected enumeration areas were inaccessible due to gated/closed communities or threats to interviewer security. Following LAPOP's substitution protocols, the replacement sampling points were located within the same primary sampling unit (PSU) and, in the same census sector.

**Figure 1: Sample Stratification in Guatemala**



<sup>2</sup> For more information visit <http://www.vanderbilt.edu/lapop/survey-designs.php>

The sample consists of 63 primary sampling units and 252 final sampling units across the set of all provinces in Guatemala. A total of 757 respondents were surveyed in urban areas and 799 in rural areas. The estimated margin of error for the survey is  $\pm 2.5$ . However, we recommend computing the margin of error for each variable considering the design effects. Table 1 shows the sample size in each of the regions (primary stratum) and by municipality size.

**Table 1: Sample sizes by Strata and Municipality Size in the 2023 AmericasBarometer Survey in Guatemala**

<b>Strata</b>	<b>Sample Size by Design</b>	<b>Number of Interviews (Unweighted)</b>
Metropolitan Area	360	372
Northeastern	312	316
Northwestern	504	519
South	336	349
<b>Total</b>	<b>1,512</b>	<b>1,556</b>
<b>Size of Municipality</b>		
More than 100,000 inhabitants	240	249
Between 25,000 and 100,000 inhabitants	456	467
Less than 25,000	816	840
<b>Total</b>	<b>1,512</b>	<b>1,556</b>

## Weighting of the Guatemala datasets

The dataset contains a variable called “wt” which is the “country weight” variable. Since in the case of Guatemala the sample is self-weighted, the value of each case =1. When using this dataset for cross-country comparisons, LAPOP reweights each country data set in the merged files so that each country has an N of 1,500. The weight variable for cross-country comparisons is called “weight1500.” In SPSS, this is done via the “weight” command. Weights are already activated in SPSS datasets. In Stata, the svyset command to weight the data and declare the sampling information to correctly compute standard errors that take into account the design effects is as follows: for single country, single year studies, the command is **svyset upm [pw=wt], strata(strata)**; for cross-country and/or cross-time studies, the command is **svyset upm [pw=weight1500], strata(strata)**. Stata datasets are preset; however, users must use the svy prefix with estimation commands to compute the weighted statistics and correct standard errors (see **help svy\_estimation** within Stata for more information).

## Quality Control in Guatemala

In the 2023 AmericasBarometer, Quality Control was based on FALCON-CATI© (Fieldwork Algorithm for LAPOP’s Control over Survey Operations and Norms). It includes, but is not limited to, an interviewer identity monitoring check, time checks, a reading control check, and data

fabrication and falsification audits. The system also includes a quality control score that assigns penalties (or demerits) to interviews during the audit. In this system, higher scores indicate more serious errors, and we refuse to accept (that is, we require the cancelation of) low quality interviews.<sup>3</sup>

The local firm audited 100% of interviews. All interviews were also run through LAPOP's automatic flagging system, and then LAPOP's team manually audited a subset of the interviews. A total of 24 interviews were canceled in Guatemala in the 2023 AmericasBarometer. The most predominant reasons for canceling an interview were skipping questions, evidence of fraud, and problems with the location and/or duration of the interview. There were 89 incomplete/early termination interviews.

## Response Rates in Guatemala

In this section we present the survey response rates.<sup>4</sup> The AmericasBarometer response rates are based on AAPOR's Standard Definitions. The response rate is the number of complete interviews with reporting units divided by the number of eligible reporting units in the sample. LAPOP Lab has programmed in STG a module that permits the accurate recording of the number of refusals, ineligible respondents, or non-contact. This in turn allows for estimating the response rates in each country. Two definitions of response rates are provided below, ranging from the definition that yields the lowest rate to the definition that yields the highest rate, depending on how partial interviews are considered and how cases of unknown eligibility are handled.

Response rates reported below are:

$$\text{Response Rate 1 (RR1)} = \frac{C}{C+P+R+N+O+UH+UO}$$

$$\text{Response Rate 3 (RR3)} = \frac{C}{C+P+R+N+O+e(UH+UO)}$$

Where: where C refers to completed interviews, P to partial interviews, R to refusals, N for non-contacts, O for others, UH for unknown if household, UO to unknown others, and e is the eligibility rate calculated using the CASRO method: e=Eligible/(Eligible + Ineligible).

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<sup>3</sup> For additional information on quality control, see LAPOP's Methodological Note: "Improving Quality in Phone Surveys via LAPOP's Multi-Faceted FALCON-CATI Approach" By Sebastián Larrea, Valerie Schweizer, and Elizabeth J. Zechmeister (May 2021). Available at: <https://www.vanderbilt.edu/lapop/insights/IMN008en.pdf>

<sup>4</sup> For additional information on how response rates are estimated, see LAPOP's Methodological Note: "How Does LAPOP Calculate Response Rates?" By Zachary Warner and Gabriel Camargo-Toledo (June 2019). Available at: <https://www.vanderbilt.edu/lapop/methods-005rev.pdf>

**Table 2: Response Rates in the 2023 AmericasBarometer Survey by Country**

<b>Country</b>	<b>RR1 (%)</b>	<b>RR3 (%)</b>
Argentina	8.8	23.8
Bahamas	27.2	31.4
Belize	34.9	42.0
Bolivia	15.6	22.7
Brazil	28.1	32.5
Chile	39.0	42.0
Colombia	31.7	39.1
Costa Rica	9.2	22.4
Dominican Republic	19.0	48.0
Ecuador	14.5	26.2
El Salvador	7.3	10.6
Grenada	56.0	59.7
Guatemala	39.6	43.1
Haiti*	6.6	10.6
Honduras	23.0	36.3
Jamaica	27.9	35.0
Mexico	19.6	31.1
Nicaragua*	8.9	9.8
Panama	35.0	40.9
Paraguay	28.5	39.0
Peru	13.3	28.6
Suriname	42.0	51.3
Trinidad & Tobago**	--	--
Uruguay	12.7	24.9
<b>LAC REGION</b>	<b>16.1</b>	<b>25.2</b>

\* Response rates based on CATI surveys in Haiti and Nicaragua

\*\* Disposition codes not registered in Trinidad and Tobago in 2023

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