Operating Principle 1.1 Institutional Control, Presidential Authority and Shared Responsibilities

The Association's principle of institutional control vests in the institution the responsibility for the conduct of its athletics program, including the actions of its staff members and representatives of its athletics interests. In fulfilling this principle, the institution shall demonstrate that:

1. The institution's governing board provides oversight and broad policy formulation for intercollegiate athletics in a manner consistent with other units of the institution.
2. The chancellor or president is assigned ultimate responsibility and authority for the operation, fiscal integrity and personnel of the athletics program.
3. Appropriate campus constituencies have the opportunity, under the purview of the chancellor or president, to provide input into the formulation of policies relating to the conduct of the athletics program and to review periodically the implementation of such policies.

Measureable Standard No. 1

If the institution developed a plan for improvement for Operating Principle 1.1 during Cycle 2, the institution must demonstrate that it has implemented its Cycle 2 plan or provide an explanation for partial completion of the plan.

1. The committee will not accept the following explanations for partial completion or noncompletion:
   a. The institution did not possess sufficient funds to implement the plan.
   b. The institution has had personnel changes since the original development of the plan.
   c. The institution does not have documentation of actions taken to implement the plan.

2. The committee will accept the following explanation for partial completion or noncompletion:
   a. The institution has implemented a different plan(s) or taken a different action(s) to achieve or maintain progress toward the same goal outlined in its Cycle 2 plan.

Measureable Standard No. 2

The chancellor or president must have and demonstrate clear and direct oversight of the athletics program.

Measureable Standard No. 3

The institution must provide evidence that specific governance policies exist for its governing board regarding the administration and oversight of athletics, including the role and responsibilities of its governing board.

Measureable Standard No. 4

The institution must provide evidence that written communication (e.g., annual report, governance policies) is provided annually to its governing board with respect to athletics.
Please note, if an institution develops a plan for improvement in this area, the plan must be implemented prior to the completion of the certification process.

**Measurable Standard No. 5**

The institution must demonstrate, through examples since the institution’s previous self-study, that its governing board’s oversight and policy formulation for athletics is consistent with its policies and stated responsibilities for other units of the institution (e.g., personnel, budget, facilities).

**Measurable Standard No. 6**

The institution must identify involved individuals or groups external to the department of athletics (e.g., faculty senate, athletics advisory group, student-athlete advisory committee) and explain how they have opportunities to provide meaningful input into the formulation of policies and how they periodically review policy implementation related to the conduct of the athletics program.

**Measurable Standard No. 7**

Institutions must demonstrate institutional control of the athletics program with respect to budget, accounting, purchasing and debt management.

**Measurable Standard No. 8.**

Institutions must demonstrate that an administrative review of NCAA comparative data (i.e., dashboard indicators) has occurred on an annual basis by the chancellor or president or his/her designees. Please note, if an institution develops a plan for improvement in this area, the plan must be implemented prior to the completion of the certification process.