Disclosure Details

<table>
<thead>
<tr>
<th>Name:</th>
<th>Job Title:</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td>Employee ID:</td>
<td>Home Department:</td>
</tr>
<tr>
<td>Approver:</td>
<td></td>
</tr>
<tr>
<td>Disclosure Status:</td>
<td>COI ID#:</td>
</tr>
<tr>
<td>Date Created:</td>
<td>Date Submitted:</td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Part A. Business Relationships and Research Activities

Please disclose the details of your potential conflict for this circumstance. If you have more than one Business Relationship or Research Activity to disclose, you will need to add additional disclosure details from the disclosure details summary page.

General Business Information

Name of Business: __________________________________________________________
Address: _________________________________________________________________

Categorize the business's relationship with you and/or Vanderbilt:

<table>
<thead>
<tr>
<th>Supplier of goods and/or services</th>
</tr>
</thead>
<tbody>
<tr>
<td>Consultant or advisor</td>
</tr>
<tr>
<td>Research activities</td>
</tr>
<tr>
<td>Business or clinical referrals</td>
</tr>
<tr>
<td>Licensing agreement</td>
</tr>
<tr>
<td>Equity holding</td>
</tr>
</tbody>
</table>

Business provides funds and/or gifts to your unit (department, division, or center) in support of education, travel, research, or other activities. Please specify the amount and purpose of any funds or gifts received.

Business provides funds and/or gifts to your unit (department, division, or center) in support of education, travel, research, or other activities. Please specify the amount and purpose of any funds or gifts received.

Other contractual or business relationship (describe):

Briefly describe the business, research or licensing activity:

________________________________________________________________________________________
________________________________________________________________________________________

1. Please indicate the nature of your or your family member's involvement. Check all that apply:

<table>
<thead>
<tr>
<th>Board of Directors</th>
<th>( ) You ( ) Family Member(s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Equity Ownership</td>
<td>( ) You ( ) Family Member(s)</td>
</tr>
<tr>
<td>Executive Position</td>
<td>( ) You ( ) Family Member(s)</td>
</tr>
<tr>
<td>Consultant</td>
<td>( ) You ( ) Family Member(s)</td>
</tr>
<tr>
<td>Scientific Advisory Board</td>
<td>( ) You ( ) Family Member(s)</td>
</tr>
<tr>
<td>Speakers Bureau</td>
<td>( ) You ( ) Family Member(s)</td>
</tr>
<tr>
<td>Employee/Manager</td>
<td>( ) You ( ) Family Member(s)</td>
</tr>
<tr>
<td>Other</td>
<td>( ) You ( ) Family Member(s)</td>
</tr>
</tbody>
</table>

If Family Member: Name of Family Member & Relationship

2. Are you receiving any type of compensation for this work?

( ) Yes ( ) No

What form does this compensation take?

________________________________________________________________________________________
3. Do your job responsibilities at Vanderbilt involve this business?
   ( ) Yes ( ) No
   If YES, describe your involvement: ______________________________________________________
   ______________________________________________________________________________________

4. Does someone at Vanderbilt oversee and approve the business relationship:
   ( ) Yes ( ) No
4a. Who at Vanderbilt oversees and approves the relationship with this business?
    Name: ________________________________
    Title: ________________________________
    Department: __________________________
    Phone: ________________________________

4b. Do you report directly to this person?
   ( ) Yes ( ) No

5. Have you used Vanderbilt’s name, resources, or confidential information in connection with this business?
   ( ) Yes ( ) No
   If YES, describe the Vanderbilt resources used: ________________________________________________
   ______________________________________________________________________________________

6. Have you reported this business/activity in prior years?
   ( ) Yes ( ) No
6a. Have you received approval for your involvement?
   ( ) Yes ( ) No
   Approved by: ________________________________
   Date: ________________________________

Significant Financial Interest

7. Please check one of the following statements:
   ( ) This business is a publicly traded company (Please proceed to Question 7a)
   ( ) This business is a non-publicly traded company (Please proceed to Question 7b)

7a. Do you or a family member receive annual income in excess of $5,000 and/or own equity holdings in excess of 5%?
   ( ) Yes (please answer 7a1, 7a2, & 7a3 below)
   ( ) No

7a1. Please mark all of the following criteria for “Significant Financial Interest” which apply to your relationship
     with this business.
   ( ) Annual income in excess of $5,000
   ( ) Equity holdings in excess of 5%

7a2. Indicate what safeguards are currently in place or that you propose to prevent your activities from creating
     an inappropriate conflict of interest:
     ______________________________________________________________________________________
     ______________________________________________________________________________________

7a3. What is the total value of your relationship (including income and equity holdings)?
   ( ) $0 - $4,999
   ( ) $5,000 - $9,999
   ( ) $10,000 - $19,999
   ( ) $20,000 - $39,999
   ( ) $40,000 - $59,999
   ( ) $60,000 - $79,999
   ( ) $80,000 - $99,999
   ( ) $100,000 - $149,999
   ( ) $150,000 - $199,999
   ( ) $200,000 and over. Please give approximate amount: ____________________________.
This business is a non-publicly traded company. (Please fill in this section if you selected “This business is a non-publicly traded company” above.)

7b. Do you or a family member receive annual income in excess of $5,000 and/or own equity holdings of any amount in this business?
   ( ) Yes (please answer 7b1, 7b2, & 7b3 below)
   ( ) No

7b1. Please mark all of the following criteria for “Significant Financial Interest” which apply to your relationship with this business.
   ( ) Annual income in excess of $5,000
   ( ) Equity holdings

7b2. Indicate what safeguards are currently in place or that you propose to prevent your activities from creating an inappropriate conflict of interest:

_________________________________________________________________________________
_________________________________________________________________________________
_________________________________________________________________________________

7b3. What is the total value of your relationship (including income and equity holdings)?
   ( ) Value of equity holding cannot be readily determined.
   ( ) $0 - $4,999
   ( ) $5,000 - $9,999
   ( ) $10,000 - $19,999
   ( ) $20,000 - $39,999
   ( ) $40,000 - $59,999
   ( ) $60,000 - $79,999
   ( ) $80,000 - $99,999
   ( ) $100,000 - $149,999
   ( ) $150,000 - $199,999
   ( ) $200,000 and over. Please give approximate amount: ____________________________.

Faculty or Staff Involvement
8. Do faculty members or staff at Vanderbilt who report to you participate in activities at Vanderbilt University related to this business?
   ( ) Yes ( ) No
   If Yes, Please provide the name(s) of the faculty/staff member and the nature of their involvement
   __________________________________________________________
   __________________________________________________________

9. Are you or a family member in a position to influence agreements or contracts made between Vanderbilt and the business (other than research grants and contracts)?
   ( ) Yes ( ) No
   If Yes, Please explain:
   __________________________________________________________
   __________________________________________________________

Student Involvement
Involvement of students and postdoctoral fellows in research relating to commercial ventures may be beneficial and is not necessarily discouraged. However precautions must be taken to avoid their participation in situations that may be detrimental to the progress of their training or their professional careers.

10. Do you currently employ or at any time during the last academic year have you employed or otherwise allowed students (undergraduate, graduate, or professional) post-doctoral fellows or other trainees to participate in activities related to this business?
    ( ) Yes ( ) No
Safeguards
Indicate what safeguards are currently in place or that you propose to prevent your activities from creating an inappropriate conflict of interest.